

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

Credit Suisse Securities (USA) LLC Political Action Committee

ADDRESS (number and street)

1201 F Street, NW Suite 450

☐Check if different  
than previously  
reported. (ACC)

Washington

DC

20004

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00111559

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report (Q1)☐July 15  
Quarterly Report (Q2)☐October 15  
Quarterly Report (Q3)☐January 31  
Quarterly Report (YE)☐July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☒

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE-Election**  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post -Election**  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

08

01

2011

through

08

31

2011

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Mr Michael W, Williams

Signature of Treasurer

Electronically Filed by Mr Michael W, Williams

Date

09

15

2011

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 12/2004)

# SUMMARY PAGE

## OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	8	0	1	2	0	1	1

To:

M	M	D	D	Y	Y	Y	Y
0	8	3	1	2	0	1	1

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <span>2011</span>		297167.69
(b) Cash on Hand at Beginning of Reporting Period .....	211443.94	
(c) Total Receipts (from Line 19) .....	36748.83	344143.27
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	248192.77	641310.96
7. Total Disbursements (from Line 31) .....	0.00	393118.19
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	248192.77	248192.77
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

### For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

M	M	D	D	Y	Y	W	Y
0	8	0	1	2	0	1	1

To:

M	M	D	D	Y	Y	W	Y
0	8	3	1	2	0	1	1

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A) .....	29380.82	222079.78
(ii) Unitemized .....	7368.01	122063.49
(iii) TOTAL (add Lines 11(a)(i) and (ii) .....	36748.83	344143.27
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) .....	36748.83	344143.27
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	36748.83	344143.27
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	36748.83	344143.27

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)			
(i) Federal Share.....	0.00	0.00	
(ii) Non-Federal Share.....	0.00	0.00	
(b) Other Federal Operating Expenditures.....	0.00	0.00	
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....	0.00	0.00	
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00	
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	393000.00	
24. Independent Expenditure (use Schedule E) .....	0.00	0.00	
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00	
26. Loan Repayments Made.....	0.00	0.00	
27. Loans Made.....	0.00	0.00	
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees .....	0.00	118.19	
(b) Political Party Committees	0.00	0.00	
(c) Other Political Committees (such as PACs) .....	0.00	0.00	
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....	0.00	118.19	
29. Other Disbursements.....	0.00	0.00	
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share .....	0.00	0.00	
(ii) "Levin" Share .....	0.00	0.00	
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00	
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00	
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	0.00	393118.19	
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	393118.19	

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	36748.83	344143.27
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	118.19
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	36748.83	344025.08
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	0.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Paul V Calderone

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.15

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 1 5 / 2 0 1 1

Transaction ID: 10221315

Amount of Each Receipt this Period

29.41

**B.**

Full Name (Last, First, Middle Initial)

Anthony J O'Callaghan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

312.45

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 1 5 / 2 0 1 1

Transaction ID: 10221744

Amount of Each Receipt this Period

41.66

**C.**

Full Name (Last, First, Middle Initial)

Maria C. Petersen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

312.45

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 1 5 / 2 0 1 1

Transaction ID: 10221774

Amount of Each Receipt this Period

20.83

**SUBTOTAL** of Receipts This Page (optional) .....

91.90

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Juan Carlos Rodriguez

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: 10266407

Amount of Each Receipt this Period

55.56

**B.**

Full Name (Last, First, Middle Initial)

Thomas T. Chung

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324355086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David S Alterman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324365086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

138.88

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Richard M Dart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324395086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Douglas Nieters

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324475086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jeffrey S Sohm

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324605086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Francis H Lusardi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324635086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

George W Coleman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.72

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324655086

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Chris Campbell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324705086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.66

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 10 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mika A. Toikka

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324715086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Kenneth J Dougherty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324785086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Peter Song

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324835086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 11 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Salvatore Favia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324845086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John S Harrison

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324865086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Joseph F Huber

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324895086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

333.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gerald M Lodge

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11324995086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas H Ritchie

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325065086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Marcia L Kessler

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325085086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Adam B Plissner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325135086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael P Mulholland

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325145086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Janet M. Yung

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325165086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ira A Negin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325175086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Edward A Poletti

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325195086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Carlos Onis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325205086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 141

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John Craig Oxman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR11325215086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**B.**

Full Name (Last, First, Middle Initial)

Timothy E. Perry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR11325245086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

Douglas L Paul

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR11325255086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

291.64

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephen H. Can

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1600.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325265086

Amount of Each Receipt this Period

200.00

P/R Deduction (\$100.00 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael C Speller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325305086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael H. Schoen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325325086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

449.98

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gavin H Wolfe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325385086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John C Robertshaw

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325435086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Patrice Aitken

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325445086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

333.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

James D Garrity

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325455086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Lewis H Wirshba

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325465086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Richard A Hightower

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325475086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephen L Oplinger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325495086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael S Isikow

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325505086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Steven H Garnett

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.72

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325515086

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.32

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Timothy J Croak

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325535086

Amount of Each Receipt this Period

40.00

P/R Deduction (\$20.00 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

George C Lin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325545086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ladd W McQuade

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325645086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

289.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael Paliotta

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325655086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Deborah A Langiulli

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325665086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Paul J O'Keefe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325675086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Faye Yezril

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.72

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325715086

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John E Cogan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

941.12

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325755086

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

William Lau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325835086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

242.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Raymond S Wood

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325915086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Andrew M Hatcher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11325965086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Amin Khadduri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326005086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

458.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ian J Buckley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326025086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Sheldon Hanau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326035086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jennifer Pepe McDade

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326045086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 141

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Philip S Vasan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3402.76

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR11326085086

Amount of Each Receipt this Period

555.56

P/R Deduction (\$277.78 Se-  
mi-Monthly)**B.**

Full Name (Last, First, Middle Initial)

Jill E Ford

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR11326135086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

Bruce W Ling

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1721.39

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR11326195086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

805.54

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Peter V Meyer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326225086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Alexander V Kroner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326245086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Louis J Impellizeri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326275086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Thomas E Fusco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326305086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Colleen A Graham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326335086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Daniel H Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326345086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Edmund F Taylor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326365086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

George L Michaels

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326385086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Steven M Yanez

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326425086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gautam S Gujral

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326495086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Edward S Nadel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326515086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Constance V Sailer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326535086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

333.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Reiner Boehning

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326555086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Steven M Kaiman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326655086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Brent A Patry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326675086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Patrick C Gorman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326695086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Emmet A Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326735086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Eduardo Vieira

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326745086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Richard J Montero

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326785086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David N. Frank

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326825086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Lawrence M Latimer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326845086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

James J Jaxon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326885086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David J Barrish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326895086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Victoria L Harmon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11326975086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 34 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Maria Chiodi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

470.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327005086

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christina Richardson-Bourke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327065086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ira F Jersey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327135086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.04

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 35 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jodi DeVito

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327235086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Skip S Seeder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327255086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Craig Sterling

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

312.45

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327275086

Amount of Each Receipt this Period

20.83

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

145.81

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 36 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Willie R. Pest

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327445086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

William E. Beck

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327575086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Todd M. Orlich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327625086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 37 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gregory L. Piccininno

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327635086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Charles P. Pieper

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327685086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Mike D. Custar

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327775086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mark Barres

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327815086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

William I Woodson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327825086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Sean T Flynn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327885086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

180.54

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 39 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Bruce K. Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1621.61

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327895086

Amount of Each Receipt this Period

208.33

P/R Deduction (\$59.21 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Yong Yan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327915086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Joshua A. Lukeman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11327945086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.65

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 40 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jeffrey D. Schor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328025086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gita S. Redfearn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328105086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jiawei Zhang

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328445086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 41 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

George E. Dale

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328455086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas A. Finlan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328535086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Douglas A Simons

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328585086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 42 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mark L Washburn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328625086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Neil A. Mitchell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328665086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

James M Bernard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328695086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 43 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Alan C. Wolman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328775086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Andrew G. Ewing

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328795086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Neil Carragher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328855086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 44 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jeffrey C. Ponko

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328895086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David M. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11328925086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Brent D. Robbins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329005086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 45 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Anthony P Brookfield

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

235.36

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329085086

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Anthony DeChellis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329095086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

John Fisher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329215086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

279.40

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 46 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Matthew S DeSalvo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329265086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Dwight A.L. Skerritt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329295086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

George F. Kasten

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329355086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 47 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Steven J Reis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329415086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Russell J Burns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.72

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329435086

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Scott Corman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.72

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329445086

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

333.34

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 48 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John Kneafsey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329535086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Daniel Slattery

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329575086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Mohamed Subrati

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

266.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329585086

Amount of Each Receipt this Period

33.32

P/R Deduction (\$16.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

116.64

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 49 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Judith E. Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329595086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Satyajit Bhattacharya

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329635086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas Prevost

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329665086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 50 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

William L. Raincsuk

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329755086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Charles A Skowron

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329775086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jamie L. Cook

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329785086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 51 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Karen M Snow

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329875086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Sean C. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329955086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Christopher J. Ceraso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11329975086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 52 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Andrew B Federbusch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR1132995086

Amount of Each Receipt this Period

100.00

P/R Deduction (\$50.00 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Edward J McCann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11330035086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Shawn J Sullivan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11330175086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

183.32

TOTAL This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 53 / 141

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Kevin E Naughton

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR11330265086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**B.**

Full Name (Last, First, Middle Initial)

William J. Belleville Jr.

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR11330285086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

Timothy A. Dod

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1333.28

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR11330315086

Amount of Each Receipt this Period

166.66

P/R Deduction (\$83.33 Sem-  
i-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

249.98

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 54 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Steven M. Bock

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11330325086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Susan Atkinson-Alcock

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR11330405086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

James L Amine

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12364275086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 55 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Nicole S Arnaboldi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

529.38

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12364285086

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Robert L Basso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12364345086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Maureen R Coen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12364625086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

409.28

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 56 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Matthew Donner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12364755086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Stephen R Dunne

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12364815086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Matthew W Gorman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12365065086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

138.88

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 57 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephen E. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

555.60

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12365085086

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Louise Guarneri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

264.69

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12365185086

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Eric Keatley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12365395086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

211.60

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Bruce Klein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12365445086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ramanujachary Kumanduri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12365505086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Stephen McMorran

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12365775086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

222.20

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 59 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stefano Natella

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12365905086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Moshe Orenbuch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12365945086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Verdun S. Perry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12366005086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

374.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 60 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert N. Pomroy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12366025086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Joseph E Reece

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12366065086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Joseph R Sevita

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12366235086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

458.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 61 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stuart F Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12366365086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Robert M. Spingarn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12366405086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Luther L Terry Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1388.90

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12366495086

Amount of Each Receipt this Period

277.78

P/R Deduction (\$138.89 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

402.76

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Albert L Seward III

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12691135086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Anthony Blasi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12691225086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Dean Wilson Ervin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12691395086

Amount of Each Receipt this Period

250.00

P/R Deduction (\$125.00 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

499.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 63 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jeffrey P Utz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12691855086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michaelle I. Burstin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12692255086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Stephen D Simberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR12692675086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 64 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Scott M Townell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR16350785086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Katherine C Joyce

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR17218345086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Victoria A Longo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR17871575086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 65 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John C Broderick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR17884485086

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Alastair J Cairns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR17884495086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Christopher Carter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR17884505086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

149.86

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 66 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Frank R Malarkey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR17884605086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Benjamin A Ruf

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR17884655086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Peter O Skoglund

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR17884665086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 67 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

William J Szilasi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR17884685086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Richard S Wallach

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR17884715086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Andrew J Yao

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR17884725086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 68 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Richard Blond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22263755086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael S Burke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

470.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22263795086

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michele D Cubic

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

615.52

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22263815086

Amount of Each Receipt this Period

76.94

P/R Deduction (\$38.47 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

219.08

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 69 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Denise A Fiallo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22263865086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Kurt R Gearhart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22263885086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

William F Hughes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22263945086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 70 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Derek K Jones

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR2263975086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael Kurinets

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR2264025086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Carlos A Laboy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR2264035086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mara B Lipner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264055086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Todd Macy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264075086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Scott C. Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264125086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 72 / 141

(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael S Nies

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264165086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Shantha Ozgen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264185086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Steven C Pierson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264205086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 73 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Hari Raghavan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264215086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jack L Rubin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264245086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David W Smythe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.64

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264275086

Amount of Each Receipt this Period

52.08

P/R Deduction (\$26.04 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

135.40

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 74 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Daniel L Eggers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264415086

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Henry N Goodman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

235.36

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264435086

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael Jakob

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264455086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

123.72

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 75 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Thomas P Rorke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

312.45

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264485086

Amount of Each Receipt this Period

20.83

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Matthew H. Wosk

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22264515086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robert J Bernstone

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22336815086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

104.15

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Anthony K Kontoleon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22336835086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mark D Callahan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR22620775086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Kristin Roth DeClark

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR32913155086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

138.88

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John A Anderson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

466.69

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33028565086

Amount of Each Receipt this Period

133.34

P/R Deduction (\$66.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David B Andrews

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33028585086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Timothy J Scanlon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33028685086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

258.32

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 78 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Christopher T Wagner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33052505086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Fredrik L Akesson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061315086

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Catalin V Barb

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061335086

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

120.62

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 79 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Kyle C Baumgartner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061345086

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John R Blackledge

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061355086

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Edward F Bowen Jr.

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061365086

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

78.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Sean T Brady

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

842.08

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061375086

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Keith Cadieux

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061395086

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Peter M Carter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061405086

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

184.22

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jonathan F Chiarieri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061415086

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ricardo C. Cuenca

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061425086

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Frederic W Dassori

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061435086

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

105.28

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mark L DePrizio

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061445086

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Matthew J Dillig

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061455086

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Robert E Durham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

842.08

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061465086

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

184.22

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 83 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Peter J Feeney

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

842.08

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061475086

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Susan R Fellner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061485086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Aaron M Gaydosik

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061505086

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

187.14

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 84 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Hershel W. Gerson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061515086

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Sanford M Katz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061625086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Richard J Kirsch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061635086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

151.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 85 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David J Maloy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061735086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Charles T McKinney

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061765086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Dolores O Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061795086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael E Moran

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061805086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christopher L O'Donnell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061865086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael A Proskin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061915086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 87 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Philip J Struthers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33061925086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gautam K Ahuja

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583465086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Garry T Collins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583505086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

152.78

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mickey E. Elbaum

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583525086

Amount of Each Receipt this Period

27.78

P/R Deduction (\$13.89 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Solomon Fried

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583535086

Amount of Each Receipt this Period

27.78

P/R Deduction (\$13.89 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Mary Gilmartin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583545086

Amount of Each Receipt this Period

27.78

P/R Deduction (\$13.89 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

83.34

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 89 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

James F Martin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583555086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Henry B Moog

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583565086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Lee D Abbey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

235.36

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583575086

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

112.74

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 90 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Martin D Amann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

235.36

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583585086

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Bruce B. Anderson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

235.36

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583595086

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Lauren E Barnes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

235.36

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583625086

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

88.26

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 91 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Rohit Bhatia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

235.36

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583635086

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Stephen Bielskie Jr.

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

235.36

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583645086

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jamie V Blond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583665086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

142.16

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 92 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Joo Y Boe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

235.36

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583675086

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Roderick O Burns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

470.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583695086

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Simon Chiu

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

470.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583735086

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

147.06

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 93 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gregory C Dietrich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

470.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583775086

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

James Doherty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

470.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583785086

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas J Drake

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

235.36

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583795086

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

147.06

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 94 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Balaji Gopalakrishnan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

941.12

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583875086

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Fabian Guzman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

235.36

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583905086

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Amy B Hudson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583925086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

188.72

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Susan H Jo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583935086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ishan Lal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33583975086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robert B Perlman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33584045086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 96 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Nathan P Romano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33584095086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Anthony J Rose

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33584105086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David A Schrader

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33584135086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.30

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Salvatore J Seguna

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33584145086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Craig W Siegenthaler

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33584165086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Eric J Staub

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33584185086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

138.88

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 98 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Alan K Chen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33683235086

Amount of Each Receipt this Period

31.26

P/R Deduction (\$15.63 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Kenneth R Deasy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR33683245086

Amount of Each Receipt this Period

31.26

P/R Deduction (\$15.63 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Andrew L McCormick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR38303655086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

104.18

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

William J Maher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR38409665086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Joseph K Kieffer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR38478655086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ben Oren

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

555.60

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR38478735086

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

222.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 100 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Borislav B Arabadjiev

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

624.90

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39315765086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Timothy P Bentham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39315805086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David J Bobeck

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39315835086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 101 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Edin Buturovic

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39315865086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Howard Chen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39315885086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Brian F Connors

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39315915086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 102 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Zachary Felshman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316005086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Margaret M Gage

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316025086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Laura M Goodrich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316075086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 103 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jason D Halio

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316125086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Andrew L Hubbard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316175086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David H Katz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316215086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 104 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jon M Klein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316245086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Sara Lander

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316275086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Marc A Mansourian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316285086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 105 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael F. Marco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316295086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Dennis Marrero

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316305086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Edouard A Matitia Cohen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316315086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 106 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Edward McAlea

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316325086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christopher H Mulshine

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316375086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas F. Reilly

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316435086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 107 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephanie M Ruiz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316455086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Parshu Shah

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316485086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Richard Y Siegel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316515086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 108 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jerry L Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316525086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Andres D Steckl

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316545086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Mahesh Swaminathan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316595086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 109 / 141

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael E Tittmann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8	/	3	1	/	2	0	1	1

Transaction ID: PR39316605086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**B.**

Full Name (Last, First, Middle Initial)

Neil J Tufano Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8	/	3	1	/	2	0	1	1

Transaction ID: PR39316615086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

James B. Walker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8	/	3	1	/	2	0	1	1

Transaction ID: PR39316655086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

166.64

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 110 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Richard S Zinman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR39316695086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Julia M Belladonna

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824145086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Gardy A Berthoumieux

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824155086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 111 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John L. Bown

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824185086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John N Dabbs

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.13

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824245086

Amount of Each Receipt this Period

20.83

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Anthony A Dertouzos

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824265086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

145.81

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 112 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Richard S Dutton

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824275086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Norman Epstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824295086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robert J. Franz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824315086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 113 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Arunas E Gudaitis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824345086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Douglas T Healy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824375086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Kenneth D. Hoffman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824395086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 114 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Charles C Jellinghaus

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824415086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jeffrey D Jennings

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824425086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael G Kassab

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824455086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 115 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Guy C. Kearsley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824475086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Erik H Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824495086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Joseph Leo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824525086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 116 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Anchi Lin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824555086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas M Lynch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824595086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Daniel C Mathisson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824625086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 117 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Eric B Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824655086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Philip J Moineau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824665086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas J Mullooly

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824695086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.30

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 118 / 141

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Thomas L Newberry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR43824715086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)**B.**

Full Name (Last, First, Middle Initial)

Peter Norley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR43824725086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Sem-  
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

Walter Richard O'Connell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR43824735086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

208.30

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 119 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephen O'Hare

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824745086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jason S. Rich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824765086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Sondra Rivers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824785086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 120 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Elisabeth E. Rose

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824795086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Todd C Sandoz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824815086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jonathan S Satran

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824825086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 121 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Angus M Scott

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824845086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ryan B Sheftel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824855086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Douglas J Trauber

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824865086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 122 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Steven Veneziano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824875086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Brian J Walker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824895086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Lara J. Warner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824905086

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 123 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Bridget O Wren

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824915086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ray Ying

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824925086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Eric A. Federman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824955086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 124 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ivan Hrazdira

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43824975086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Robin M Rankin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43825075086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Adam T. Garner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR43825295086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

180.54

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 125 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert H Huntington

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR56510765086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David Coulam

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR56510795086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Richard J DellaRusso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR56510805086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.30

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Karen S Wallace

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR56510835086

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Charles A Boorady

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR61322585086

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ravi M Singh

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1052.64

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67756115086

Amount of Each Receipt this Period

263.16

P/R Deduction (\$131.58 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

388.14

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 127 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ari D Barkan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67967955086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Wallace S Cheng

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67967985086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

George Chrisafis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67967995086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.68

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 128 / 141

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Daniel Collins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968005086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christopher R Cottrell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968015086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Elizabeth A Danilek

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968025086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.68

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 129 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Dean J. Decker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968035086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Allegra S Driscoll

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968055086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jens J Ernberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968075086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.68

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 130 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Nigel K Faulkner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968085086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Carlos A. Garza

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968115086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Paul E Germain

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

555.60

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968125086

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

222.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 131 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Lesley D Goldwasser Plutzik

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

555.60

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968145086

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Seamus G Kilkenny

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968185086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Nelson M Louie

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

555.60

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968245086

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

277.80

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David S Meyrowitz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968285086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Todd Jay Mitty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968295086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Steve J Nanfan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968305086

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

194.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Daniel J Otmar

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968315086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

James H Rogan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

555.60

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968365086

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Geoffrey C Schiciano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968375086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

222.24

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gabrielle A Sessa

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968385086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Joel H Simkins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.80

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968395086

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Andrew R.A. Stewart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

555.60

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968405086

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

222.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephen R Stonberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

555.60

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968415086

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Roger Wacker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

555.60

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67968445086

Amount of Each Receipt this Period

111.12

P/R Deduction (\$55.56 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Oscar Bleetstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

264.69

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67969195086

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

281.06

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Nikhilesh J Borcar

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

264.69

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67969205086

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Carlos Guido Cerini

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

529.38

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67969225086

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Richard F Fernandez

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

264.69

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67969265086

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

235.28

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Frank Mangiafico

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

264.69

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67969315086

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Shawn U Oxenham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1323.54

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67969335086

Amount of Each Receipt this Period

294.12

P/R Deduction (\$147.06 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Christopher S Scaring

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

529.47

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR67969385086

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

470.60

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 138 / 141

(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Frank T D'Alessio

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR68090965086

Amount of Each Receipt this Period

62.50

P/R Deduction (\$31.25 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Frank De Maria III

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR68090975086

Amount of Each Receipt this Period

62.50

P/R Deduction (\$31.25 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

John M Hammond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR68091005086

Amount of Each Receipt this Period

62.50

P/R Deduction (\$31.25 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

187.50

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 139 / 141

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Brian T Handy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR68091015086

Amount of Each Receipt this Period

62.50

P/R Deduction (\$31.25 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ignacio M Machado

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR68091095086

Amount of Each Receipt this Period

62.50

P/R Deduction (\$31.25 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Luigi P Mercone

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR68091105086

Amount of Each Receipt this Period

62.50

P/R Deduction (\$31.25 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

187.50

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 140 / 141

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David H Russ

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR68091145086

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christopher L Young

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR68091175086

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jeffrey Halpern

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

233.38

Date of Receipt

M M / D D / Y Y Y Y  
0 8 / 3 1 / 2 0 1 1

Transaction ID: PR68091185086

Amount of Each Receipt this Period

66.68

P/R Deduction (\$33.34 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

316.68

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 141 / 141

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mark S Simonian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary
 ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

466.69

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

Transaction ID: PR68091195086

Amount of Each Receipt this Period

133.34

P/R Deduction (\$66.67 Sem-  
i-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

133.34

TOTAL This Period (last page this line number only) .....

29380.82